### Rapidity Distributions of Hadrons in Proton-Nucleus Collisions

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### Abstract

We study proton-lead collisions with a new model for the Fock states of the incoming proton. The number of collisions which the proton experiences selects the appropriate Fock state of the proton which generates a multiple of pp-like rapidity distributions. We take as input the pp maximum entropy distributions shifting the respective cm-rapidities and reducing the available energies. A comparison with existing data at 5 TeV is made and results for 8 TeV are presented. We also explore the high multiplicity data in this model.

#### I. INTRODUCTION

The main ideas underlying high energy proton-nucleus collisions are well established. It is easiest to consider the system in the reference frame where the nucleus is at rest. Then high energy excitations in the fast incoming proton become degenerate with the ground state. They reflect the excitations of the quark-diquark in the proton and are formed long before the nucleus is reached. In the large  $N_c$  approximation one can reorganize these excitations in a series of color neutral Fock states consisting of quark-antiquark pairs [\[1\]](#page-9-0). The probability for n collisions can still be calculated according to Glauber theory, since the inelastic cross section only weakly depends on the composition of the Fock state. The  $n$ -th Fock state is actualized by n collisions with target nucleons. Data can be explained when in n collisions only  $(n + 1)/2$  as many particles are formed as in a single pp collision [\[2–](#page-9-1)[4\]](#page-9-2). How can one understand the phenomenon that the fragmentation products do not multiply  $n$  times, given the two facts that there are  $n$  collisions and that the fragmenting two strings formed in each collision overlap strongly in rapidity space?

In this paper we will present a new model which can explain this phenomenon. We assume that in proton-proton collisions and in  $q\bar{q}$ -proton collisions the strings hadronize in a similar fashion, producing a symmetric maximum entropy distribution [\[5,](#page-9-3) [6\]](#page-9-4) in its respective rest frame. In the simplification that pions are produced in the same amount as gluons the maximum entropy method yields a Bose-type distribution depending on rapidity y and transverse momentum  $p_{\perp}$ . The maximum entropy distribution has three parameters, namely an effective transverse "temperature"  $\lambda(s)$  and a longitudinal softness  $w(s)$ , both depending on the center-of-mass (cm) energy. It is symmetrical around the cm-rapidity  $y_{cm}$ . Using the rapidity variable we can describe both hemispheres of the distribution:

$$
n(y, \vec{p}_{\perp}) = \frac{1}{e^{\sqrt{p_{\perp}^2 + m_{\pi}^2}(\frac{1}{\lambda(s)} + \frac{w(s)}{\sqrt{s}} \exp|y - y_{cm}|)} - 1}.
$$
 (1)

For pp-collisions the transverse phase space is homogeneously distributed over the area  $L_{\perp}^2$ . Empirical values for this area give sizes  $L_{\perp} \approx 1.3$  fm for pion distributions [\[5,](#page-9-3) [6\]](#page-9-4). Invoking parton-hadron duality the multiplicity of produced particles is obtained by integrating the light cone distribution over the respective phase space. Note that the relativistic measure  $dy = dx/x$  arises from the large spatial extension in longitudinal direction of the small x partons. With a gluon degeneracy factor  $g = 2(N_c^2 - 1)$  [\[5\]](#page-9-3) the total multiplicity becomes:

$$
N = g \frac{N_{\text{part}}}{2} L_{\perp}^2 \int \frac{d^2 p_{\perp}}{(2\pi)^2} \int dy \ n(y, \vec{p}_{\perp}). \tag{2}
$$

We emphasize that a statistical understanding of the final state in heavy-ion collisions necessitates both a correct description of the momentum and configuration space distribution. The maximum entropy model was originally conceived for symmetric pp or AA collisions. It can be adapted to the pA configuration by keeping the symmetry of the fragmentation products with respect to the cm-rapidity which depends on the momentum of the  $q\bar{q}$  substate in the fast proton colliding with a target nucleon.

## II. THE FOCK STATE DECOMPOSITION AND THE MULTIPLICITY RATIO BETWEEN PROTON-NUCLEUS AND PP COLLISIONS

For proton-nucleus collisions we add the individual contributions of the different Fock states in the fast proton interacting with the nuclear participants. This means that a two particle Fock state is actualized when the incoming proton interacts with two target nucleons and a Fock state consisting of three components interacts with 3 target nucleons and so on. The total proton-nucleus reaction cross section and the cross section that the projectile experiences  $n$  collisions can be calculated from eikonal theory counting the number of cut-Pomerons. For the assumptions underlying this model we refer to the extensive literature following the original paper of Abramovsky, Gribov and Kancheli [\[7\]](#page-9-5). The resulting formula is mainly probabilistic taking into account the approximate size of the projectile given by the inelastic pp cross section. In the large A limit one obtains the cross section for n inelastic collisions where the profile function  $T_A(b)$  of the nucleus is obtained from the Wood Saxon distribution of A nucleons integrated over the z direction:

$$
\sigma(n) = \frac{1}{n!} \int d^2b \left( T_A(b) \sigma_{in} \right)^n e^{-T_A(b)\sigma_{in}}.
$$
\n(3)

At 5 TeV we use  $\sigma_{in} = 70$  mb as pp-inelastic cross-section [\[4\]](#page-9-2). The sum over all n, i.e. over all possible collisions gives the total inelastic cross section. The ratio of the two determines the probability  $P(n)$  for n collisions which we need in order to calculate the inclusive rapidity distribution:

$$
\sigma_{reac} = \int d^2b \left( 1 - e^{-T_A(b)\sigma_{in}} \right) \tag{4}
$$

$$
P(n) = \frac{\sigma(n)}{\sigma_{reac}}.\tag{5}
$$

To understand the multiplicity distribution we construct a model for the n-th Fock state in such a way that it reproduces the general features of the phenomenology in pA collisions. The most important empirical result is the increase of the multiplicity ratio  $r$  between  $pA$ and pp collisions with the mean number  $\langle n \rangle$  of collisions:

$$
r = \frac{\langle n \rangle + 1}{2}.\tag{6}
$$

In order to specify the details of the model, however, more and different reaction channels would have to be analyzed. We assume that the *n*-th Fock state  $|n\rangle$  is a coherent superposition of n-particle states with different numbers of "valence-like" and "sea-like" constituents:

$$
|v_1, v_2, \dots v_m, s_1, s_2, \dots s_{n-m}\rangle. \tag{7}
$$

The valence-like constituents  $v_i$  carry sizable momentum fractions of the proton. The sealike constituents  $s_i$  are very slow and do not contribute to the hadronization significantly. The first "valence"-like constituent has the structure  $|qqq\rangle$  and all others constituents are  $|\bar{q}q\rangle$  color neutral constituents. In line with the maximum entropy assumption we assume that in the quantum state with  $m$  valence particles each particle carries the same fraction  $x_m = 1/m$  of the proton momentum. Because of lack of further information we also take equal amplitudes of the individual quantum states in the superposition, in reality they may depend on the reaction dynamics. The n-th Fock state in the model then has the form

$$
|n\rangle = \frac{1}{\sqrt{n}}(|1, s, s, \ldots\rangle + |1/2, 1/2, s, \ldots\rangle + |1/3, 1/3, 1/3, s, \ldots\rangle + \ldots + |1/n, 1/n, \ldots, 1/n\rangle). (8)
$$

We have labeled the valence-like constituents by their respective momentum fractions  $x_m = 1/m$ . Because of the negligible role of sea substates the m-th component gives m times the multiplicity of a proton-proton collision, modified, of course, according to the momentum fractions of the color-neutral states in the Fock state. To obtain the total multiplicity  $N(n)$  generated by the *n*-th Fock state we average the sum of the multiplicities of the n components:

$$
N(n) = \frac{1}{n} \frac{n(n+1)}{2} \tag{9}
$$

Combining this multiplicity with the Glauber weights gives the empirical multiplicity ratio between pA- and pp-collisions:

$$
r = \frac{\langle n \rangle + 1}{2}.\tag{10}
$$

This simple additivity is broken by energy conservation and rapidity shifts arising from the lower momenta in the substates. There have been more sophisticated proposals [\[8\]](#page-9-6) about the distribution function of the different Fock states and how they interact with the target nucleons, but the necessary multi-parton distributions are really unknown and can only be guessed.

The eikonal formula still holds because the inelastic cross section does not vary much for the different Fock states. Gribov inelastic corrections to the total inelastic cross section from excitations of the fast proton are known to be small [\[9\]](#page-9-7). It also has been shown in string motivated models [\[10\]](#page-9-8) that the inelastic pp cross section is mainly given by the distance  $(R)$  of q and diquark in the proton, whereas the string thickness  $(a)$  gives only a small contribution. Consequently also the transverse string excitations only give a minor modification of the cross section since the transverse size a is much smaller than the extension of the string  $a \ll R$ .

### III. HADRON RAPIDITY DISTRIBUTIONS IN PA-COLLISIONS

Because of momentum sharing the  $m$ -th component of the Fock state creates a multiplicity distribution with a reduced cm-energy and a cm-rapidity shifted from the pp-cm momentum  $y = 0$  towards positive rapidities, i.e. towards the target rapidity in our convention. Note different experiments have different conventions for the rapidity of the nucleus and the proton, we use the one in the ALICE publication [\[11\]](#page-9-9). Since the lead beam has an energy of 1.58 TeV/per nucleon and the opposing proton beam an energy of 4 TeV there is an additional displacement  $\Delta$  of the cm-rapidity in proton direction:

$$
s(m) = x_m s \tag{11}
$$

$$
y_{cm}(m) = -\log(x_m)/2 - \Delta \tag{12}
$$

$$
\Delta = 0.465. \tag{13}
$$

Each collision between a proton substate with a target proton leads to a fragment distribution like in a pp collision. The existing parameterizations of the pp data with the maximum entropy distribution allow us to interpolate the energy dependences of the two parameters of the light cone plasma distributions. The fitted values of the parameters for cm-energies 0.2 TeV< √  $\sqrt{s}$  < 7.0 TeV serve as input for the energy dependence of the effective transverse temperature  $\lambda(s)$  and the longitudinal softness  $w(s)$ :

$$
\lambda(s) = (0.023 + 0.03 \log(\sqrt{s/s_0})) \,\text{GeV} \tag{14}
$$

$$
w(s) = -3.66 + 1.33 \log(\sqrt{s/s_0})
$$
\n(15)

$$
s_0 = 1 \,\text{GeV}^2. \tag{16}
$$

Additional constants in the maximum entropy distribution are  $K = 0.3$  and the pion mass  $m_{\pi}=0.138$  GeV. The starting values  $w=7.67$  and  $\lambda=0.274$  GeV correspond to the cm energy  $\sqrt{s}$ =5 TeV, but in the higher Fock states this energy is reduced. We calculate the relevant rapidity distribution for a collision of a substate with  $x_m = 1/m$  with a target proton using the correct energy  $s(m)$  and rapidity  $y_{cm}(m)$  to get the pseudorapidity distribution  $dN(m)$  $\frac{dN(m)}{d^2p_{\perp}d\eta}$  which is the basic building block of our model:

$$
\frac{dN(m)}{d^2p_\perp d\eta} = \frac{16L_\perp^2}{(2\pi)^2} \sqrt{1 - \frac{m_\pi^2}{(m_\pi^2 + p_\perp^2)\cosh^2 y(\eta, p_\perp)}} n_m(y, p_\perp)
$$
(17)

$$
n_m(y, p_\perp) = \left(e^{\sqrt{p_\perp^2 + m_\pi^2} \langle \frac{1}{\lambda(s(m))} + \frac{w[s(m)]}{K\sqrt{s(m)}} \exp|y(\eta, p_\perp) - y_{cm}(m)|} - 1\right)^{-1} \tag{18}
$$

$$
y(\eta, p_{\perp}) = \log \left[ \frac{\sqrt{m_{\pi}^2 + p_{\perp}^2 \cosh^2 \eta} + p_{\perp} \sinh \eta}{\sqrt{m_{\pi}^2 + p_{\perp}^2}} \right].
$$
 (19)

The *n*-th Fock state  $|n\rangle$  interacting with *n* target nucleon can produce up to *n* times the proton-proton multiplicity with the probability  $P(n)$ . The first component  $|1, s, s, s, ... \rangle$ gives a rapidity distribution  $\frac{dN(1)}{d^2p_\perp d\eta}$ . The second component  $|1/2, 1/2, s, s, \ldots\rangle$  gives twice the rapidity distribution  $\frac{dN(2)}{d^2p_\perp d\eta}$  and similarly for the higher components. The total sum of produced fragmentation products comes from the arithmetic sum of  $1 + 2 + 3 + ... + n$  pp distributions which must be averaged, because each component has equal weight. Averaging gives the correct multiplicity ratio between pp and pA collisions observed for high energy collisions as discussed before. For the charged particle multiplicity we multiply with a factor  $2/3$ :

$$
\frac{dN_{ch}}{d^2 p_{\perp} d\eta} = \frac{2}{3} \sum_{n=1}^{\infty} P(n) \frac{1}{n} \left( \frac{dN(1)}{d^2 p_{\perp} d\eta} + 2 \frac{dN(2)}{d^2 p_{\perp} d\eta} + 3 \frac{dN(3)}{d^2 p_{\perp} d\eta} + \dots + n \frac{dN(n)}{d^2 p_{\perp} d\eta} \right) \tag{20}
$$



<span id="page-6-0"></span>FIG. 1. Individual contributions  $\frac{dN_{ch}(m)}{d\eta}$  with  $m=1$  to  $m=7$  from top to bottom are shown for  $\sqrt{s} = 5 \,\text{TeV}$ . The cm-momenta are shifted towards the target rapidity and cm-energies are reduced due to momentum sharing.

To compare with the data we integrate over transverse momentum:

$$
\frac{dN_{ch}}{d\eta} = \int d^2p_{\perp} \frac{dN_{ch}}{d^2p_{\perp}d\eta},\tag{21}
$$

$$
= \sum_{n=1}^{\infty} P(n) \frac{1}{n} \left( \frac{dN_{ch}(1)}{d\eta} + 2 \frac{dN_{ch}(2)}{d\eta} + 3 \frac{dN_{ch}(3)}{d\eta} + \dots + n \frac{dN_{ch}(n)}{d\eta} \right). \tag{22}
$$

In Fig. [1](#page-6-0) the contributions  $\frac{dN_{ch}(m)}{d\eta}$  for  $m=1$  to  $m=7$  are shown individually. Due to momentum sharing they decrease in magnitude and move towards positive rapidities. For p-Pb collisions on the average  $\langle n \rangle = 7.25$  collisions occur. Summing over all collisions one obtains the p-Pb rapidity distribution in Fig. [2.](#page-7-0) The lower curve shows the 5 TeV data from the ALICE collaboration [\[11\]](#page-9-9) together with the theory. The theoretical calculation is close to the data, but slightly underestimates the asymmetry of the measured distribution in pseudo-rapidity. The neglected small sea substates in the Fock states would increase the theoretical result for positive rapidities and decrease the proton side.

A comparison of our theoretical prediction with the data of 8 TeV p-Pb collisions may present an additional test of our model. The theoretical multiplicity distribution at 8 TeV has the same shape as at 5 TeV, but one obtains a larger multiplicity due to the higher energy.



<span id="page-7-0"></span>FIG. 2. Data points [\[11\]](#page-9-9) from the ALICE experiment show the charged-particle pseudorapidity distribution in p+Pb collisions at  $\sqrt{s} = 5020 \,\text{GeV}$ , the solid curve represents the result from the presented model. The dashed curve gives our prediction for the 8000 GeV data.

# IV. THE HADRON RAPIDITY DISTRIBUTION IN HIGH MULTIPLICITY PROTON-NUCLEUS COLLISIONS

The highest multiplicity p-Pb data show a surprisingly large enhancement of the rapidity distribution, especially in the target region. We recall that the minimum bias ratio of pA to pp multiplicity is

$$
r = \frac{\langle n \rangle + 1}{2}.\tag{23}
$$

A high multiplicity trigger does select the highest component of each Fock-state  $|n, \text{high}\rangle$  in our model, namely

$$
|n, \text{high}\rangle = |1/n, 1/n, \dots, 1/n\rangle \tag{24}
$$

which produces  $n$  times the proton multiplicity and consequently will increase the overall multiplicity by a factor two:

$$
r_{\text{high}} = \langle n \rangle. \tag{25}
$$

In addition the selection of impact parameter will also give a higher multiplicity. In Fig. [3](#page-8-0) we show the highest multiplicity we can get in our model for an impact parameter cut  $0 < b < 1.76$  fm which corresponds to a centrality of 0–5%.



<span id="page-8-0"></span>FIG. 3. Data points from the ATLAS experiment [\[12\]](#page-9-10) show the charged-particle pseudorapidity distribution for the 5% most central p-Pb collisions at  $\sqrt{s} = 5020 \,\text{GeV}$ , the solid curve represents the result from the presented model selecting the highest component in each Fock component. The dashed curve gives the prediction for the averaged Fock states.

It is impossible to get the factor three enhancement only from a cut in impact parameter space. In our model the average Fock state (cf. Fig. [3\)](#page-8-0) does not suffice to describe the data taken in the ATLAS experiment [\[12\]](#page-9-10), whereas the selected Fock state gives good agreement in the positive rapidity region. There is an even stronger asymmetry in the data than in Fig. [2](#page-7-0) which is missed by the simplified theory.

Several improvements have to be studied: (i) How does the focusing on the highest Fock state takes place as a function of the trigger in order to understand the variations of the rapidity distribution with centrality. (ii) How do the sea states enter the Fock state composition quantitatively? (iii) Can the maximum entropy distribution for string fragmentation fluctuate? In this paper we only use an average value for the transverse temperature  $\lambda$ , but one expects that fluctuations in  $\lambda$  may be important to boost the multiplicity which is proportional to  $\lambda^2$ . In statistical physics it is well known that fluctuations of the "temperature" can lead to a power behavior of the high  $p_{\perp}$  part of the transverse momentum distribution which is encoded in Tsallis distributions. These points will be studied in further work.

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